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\* Admitted in NY only  
\*\* Passed the Patent Bar Examination

July 10, 2001

Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Re: U. S. Patent Application No. 09/772,103  
Entitled: ANTIBODIES AGAINST CTLA4 AND USES THEREFOR  
Inventor(s): Beatriz M. Carreno et al.  
Filing Date: January 26, 2001  
Attorney Docket No. GNN-009CP

Dear Sir:

I enclose herewith for filing in the above-identified application the following:

1. Response to Notice to File Missing Parts of Non-Provisional Application (2 pgs.);
2. A copy of the Notice to File Missing Parts of Non-Provisional Application (2 pgs.);
3. Four (4) Executed Declaration, Petition and Power of Attorney documents (32 pgs. total);
4. Transmittal for Formal Drawings (in duplicate);
5. Twenty-two (22) sheets of Formal Drawings (Figures 1A-11);
6. A Check for \$1214.00 (filing fees and surcharge); and
7. Return Acknowledgment Postcard.

Please charge any necessary fees to our Deposit Account No. 12-0080. The undersigned requests any extensions of time necessary to respond. A duplicate of this sheet is enclosed.

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, BOX MISSING PARTS, Washington, D.C. 20231 on:

July 10, 2001

Date

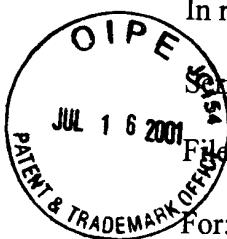
*Megan E. Williams*  
Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,

LAHIVE & COCKFIELD, LLP

*Megan E. Williams*  
Megan E. Williams, Esq.  
Registration No. 43,270  
Attorney for Applicants

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



In re the application of: Beatriz M. Carreno et al.

Serial No.: 09/722,103

Filed: January 26, 2001

For: ANTIBODIES AGAINST CTLA4 AND USES  
THEREFOR

Attorney Docket No.: GNN-009CP

Group Art Unit: 1645

Examiner:

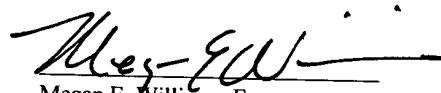
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July 10, 2001  
Date of Signature and of Mail Deposit

By:

  
Megan E. Williams, Esq.  
Reg. No. 43,270  
Attorney for Applicants

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL  
APPLICATION**

Dear Sir:

Responsive to the Notice to File Missing Parts of Non-Provisional Application dated May 10, 2001, Applicants' attorney submits herewith four executed Declaration, Petition and Power of Attorney documents for the above-identified patent application. A check in the amount of **\$1214.00** is enclosed to cover the total amount owed by Applicants including \$130.00 surcharge, \$710.00 basic filing fee, and \$374.00 additional claim fee based on large entity status. Also enclosed are twenty-two sheets of Formal Drawings (Figures 1A-11), and a copy of the Notice to File Missing Parts of Non-Provisional Application.

The Commissioner is hereby authorized to charge payment of any fees under 37 C.F.R. 1.16 and 1.17 during the pendency of this application or credit any overpayment to Deposit Account No. 12-0080.

Please charge any underpayments or credit any overpayments associated with this communication to our Deposit Account No. 12-0080. A duplicate of this letter is enclosed.

Respectfully submitted,

  
Megan E. Williams, Esq.  
Registration No. 43,270  
Attorney for Applicants

LAHIVE & COCKFIELD, LLP  
28 State Street  
Boston, MA 02109  
Tel. (617) 227-7400

Date: July 10, 2001



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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of: Beatriz M. Carreno et al.

Serial No.: 09/722,103

Filed: January 26, 2001

For: ANTIBODIES AGAINST CTLA4 AND USES  
THEREFOR

Attorney Docket No.: GNN-009CP

Group Art Unit: 1645

Examiner:

Commissioner for Patents  
Washington, D.C. 20231

Attn: Official Draftsman

**TRANSMITTAL OF FORMAL DRAWINGS**

Dear Sir:

In response to the Notice to File Missing Parts of Non-Provisional Application dated May 10, 2001, transmitted herewith are twenty-two (22) sheets of formal drawings (Figures 1A-11). Applicants respectfully submit that the formal drawings are in compliance with the corrections requested in the Notice and with 37 C.F.R. § 1.85. Applicants request that the drawings be filed in the above-referenced patent application. No new matter has been added.

Please charge any fees in connection with this matter to Deposit Account No. 12-0080. A duplicate is enclosed for this purpose.

**Certificate of First Class Mailing (37 CFR 1.8(a))**

I hereby certify that this correspondence is deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, Box Missing Parts, Washington, DC 20231 on:

July 10, 2001

Date

Megan E. Williams, Esq., Reg. No. 43,270

Respectfully submitted,

Megan E. Williams, Esq.

Reg. No. 43,270

Attorney for Applicants

LAHIVE &amp; COCKFIELD, LLP

28 State Street

Boston, MA 02109

(617) 227-7400

Dated: July 10, 2001



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
 UNITED STATES PATENT AND TRADEMARK OFFICE  
 WASHINGTON, D.C. 20231  
 www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/772,103	01/26/2001	Beatriz M. Carreno	GNN-009CP

000959  
 LAHIVE & COCKFIELD  
 28 STATE STREET  
 BOSTON, MA 02109



## CONFIRMATION NO. 7957 FORMALITIES LETTER



\*OC000000006062696\*

Date Mailed: 05/10/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

### FILED UNDER 37 CFR 1.53(b)

#### *Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$374.
  - \$54 for 3 total claims over 20.
  - \$320 for 4 independent claims over 3 .
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 1214.**

RECEIVED  
 LAHIVE & COCKFIELD  
 DOCKET DEPT.

MAY 18 2001

RETRIEVED: \_\_\_\_\_  
 FORWARDED: \_\_\_\_\_

*surcharge as set forth in 37 CFR 1.16(e)*

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
  - Numbers, letters, and reference characters must measure at least 0.32 cm (1/8 inch) in height.

07/18/2001 CCHAU1 00000090 09772103

01 FC:101	710.00 OP
02 FC:103	54.00 OP
03 FC:102	320.00 OP
04 FC:103	130.00 OP

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*A copy of this notice **MUST** be returned with the reply.*

*Man*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE